

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO.   | F           | ILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO.  |  |
|---|-------------|------------|----------------------|---------------------|-------------------|--|
| 10/750,400  | <del></del> | 12/31/2003 | Joakim Gripemark     | 03370-P0068A        | 8989              |  |
| 24126   | 7590        | 04/18/2005 |                      | EXAM                | MINER             |  |
| ST. ONGE STEWARD JOHNSTON & REENS, LLC<br>986 BEDFORD STREET<br>STAMFORD, CT 06905-5619 |             |            |                      | GRAHAM, I           | GRAHAM, MATTHEW C |  |
|   |             |            |                      | ART UNIT            | PAPER NUMBER      |  |
|   |             |            | 3683                 |                     |                   |  |

DATE MAILED: 04/18/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

|  | Application No.   | Applicant(s)  |  |  |  |  |  |
|--|---|---|--|--|--|--|--|
|  | 10/750,400  | GRIPEMARK ET AL.  |  |  |  |  |  |
| Office Action Summary  | Examiner  | Art Unit  |  |  |  |  |  |
|  | Matthew C Graham  | 3683  |  |  |  |  |  |
| The MAILING DATE of this communica   | ation appears on the cover sheet with   | h the correspondence address  |  |  |  |  |  |
| Period for Reply   |   |   |  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR THE MAILING DATE OF THIS COMMUNIC.  - Extensions of time may be available under the provisions of after SIX (6) MONTHS from the mailing date of this communi.  - If the period for reply specified above is less than thirty (30) of . If NO period for reply is specified above, the maximum statut.  - Failure to reply within the set or extended period for reply will Any reply received by the Office later than three months after earned patent term adjustment. See 37 CFR 1.704(b). | ATION.  37 CFR 1.136(a). In no event, however, may a rejication.  lays, a reply within the statutory minimum of thirty ory period will apply and will expire SIX (6) MONT  I, by statute, cause the application to become ABA | ply be timely filed  (30) days will be considered timely.  HS from the mailing date of this communication.  NDONED (35 U.S.C. § 133). |  |  |  |  |  |
| Status   |   |   |  |  |  |  |  |
| 1)⊠ Responsive to communication(s) filed   | on <i>1/18/2005</i> .   |   |  |  |  |  |  |
| •  | ) ☐ This action is non-final.   |   |  |  |  |  |  |
| <u> </u>   | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is   |   |  |  |  |  |  |
|  | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.   |   |  |  |  |  |  |
| Disposition of Claims  |   |   |  |  |  |  |  |
| 4)⊠ Claim(s) <u>1-10</u> is/are pending in the application.  |   |   |  |  |  |  |  |
|  | 4a) Of the above claim(s) is/are withdrawn from consideration.  |   |  |  |  |  |  |
| 5) Claim(s) is/are allowed.  | _   |   |  |  |  |  |  |
| 6)⊠ Claim(s) <u>1-10</u> is/are rejected.  | · · · · · · · · · · · · · · · · · · ·   |   |  |  |  |  |  |
| 7) Claim(s) is/are objected to.  | _   |   |  |  |  |  |  |
| 8) Claim(s) are subject to restriction   | Claim(s) are subject to restriction and/or election requirement.  |   |  |  |  |  |  |
| Application Papers   |   | •   |  |  |  |  |  |
| 9) ☐ The specification is objected to by the E   | Examiner.   |   |  |  |  |  |  |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.   |   |   |  |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |   |   |  |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |   |   |  |  |  |  |  |
| 11) The oath or declaration is objected to b   | y the Examiner. Note the attached   | Office Action or form PTO-152.  |  |  |  |  |  |
| Priority under 35 U.S.C. § 119   |   |   |  |  |  |  |  |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  |   |   |  |  |  |  |  |
| a) ☐ All b) ☐ Some * c) ☐ None of:   |   |   |  |  |  |  |  |
| 1. Certified copies of the priority documents have been received.  |   |   |  |  |  |  |  |
| 2. Certified copies of the priority do   | ocuments have been received in Ap   | oplication No   |  |  |  |  |  |
| •  | the priority documents have been r  | received in this National Stage   |  |  |  |  |  |
| application from the Internationa  * See the attached detailed Office action f   | ,   | racaivad  |  |  |  |  |  |
| See the attached detailed Office action i  | or a list of the certified copies flot i  | eceivea.  |  |  |  |  |  |
| Attachment(s)  |   |   |  |  |  |  |  |
| 1) Notice of References Cited (PTO-892)  | 4) Interview St   | ⊔mmary (PTO-413)  |  |  |  |  |  |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO   | /Mail Date  |   |  |  |  |  |  |
| 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  Paper No(s)/Mail Date  5) Notice of Informal Patent Application (PTO-152)  6) Other:   |   |   |  |  |  |  |  |

Art Unit: 3683

- 1. Receipt is acknowledged of the amendment filed on 1/18/2005.
- 2. The amendment filed 1/18/2005 is objected to under 35 U.S.C. 132(a) because it introduces new matter into the disclosure. 35 U.S.C. 132(a) states that no amendment shall introduce new matter into the disclosure of the invention. The added material which is not supported by the original disclosure is as follows: the added figures and the added description to pages 2 and 3 of the specification is considered to be new matter.

Applicant is required to cancel the new matter in the reply to this Office Action.

3. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the full ring or lining, the segments, the anchors and the wear parts must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an

application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 5. Claims 1-2 and 4 are rejected under 35 U.S.C. 102(b) as being anticipated by Takakura et al.

Note the clutch, which is considered to be a disc brake, having a caliper (see Figs 3-4) with a disc having a brake lining material on only one side. The brake pads are arranged on the stators fixed to the caliper.

6. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Application/Control Number: 10/750,400 Page 4

Art Unit: 3683

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 8. Claims 3 and 5-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Takakura et al.

The claimed invention difers from Takakura et al. only in the inclusions of commonplace elements and well-known alternatives. It would have been obvious to one of ordinary skill in the art to have utilized braking pad segments, wear surfaces, cooling fins and anchors as commonplace or standard accessory features.

- 9. Applicant's arguments filed 1/18/2005 have been fully considered but they are not persuasive. Contrary to Applicants contention, a clutch is a friction brake to the broad degree claimed as understood in the art.
- 10. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

Application/Control Number: 10/750,400

Art Unit: 3683

Page 5

the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

11. Any inquiry concerning this communication should be directed to Matthew C Graham at telephone number 703-308-2570.

4/14/2005

MATTHEW C. GRAHAM PRIMARY EXAMINER GROUP 310